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Submissions Approved Entered IRB: 5/7/2015 3.4	Meetings Library Help Center STU0020083 Principal investigator: :	Reports IR	Boffice: IRB Office B coordinator:			
Initial approval: 6/5/2015 Initial effective: 6/18/2015 Effective: 10/15/2018 Approval end: 4/22/2019 Last updated: 2/5/2019/4/2	Primary contact:	ete	tter: Correspondence_f gulatory authority: Pre-2018 Requirer	for_STU00200837.pdf(0.02) nents		
View Study Printer Version	Pre-Submission Pre-Revie	IRB Review	Post-Review Review	Complete		
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6. Before starting the Continuing Review submission, you will want to click on the "Study Team Training" tab of the main study page and scan the list for expired personnel training. If CITI

Go + Add Filter * Clear All

Author

Kupczyk,

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training date is expired (in red), you will want to notify the personnel to update their CITI training and send their email irbtraining@northwestern.edu as soon as possible. The IRB will not reapprove a study if personnel has expired training on file.

History	Funding	Project Contacts	Documents	Follow-on Submissions	Reviews	Study Team Training	Snapshots
Name		Training Type		Certification	Date	Other Description/Note	3
Yasm		CITI Biomedic	al Refresher	12/1/2018			
Chri		CITI Biomedic	al	8/10/2018			
Mag CITI Biomedical Ref		al Refresher	3/28/2016				
Ann	Ann CITI Biomedia		al Refresher	8/31/2017			
Bea	Bear CITI Biomedical Refresher		al Refresher	2/6/2017			
Alfre		CITI Biomedical Refresher		7/11/2018			
Ster		CITI Biomedical Refresher		1/2/2019			
Lau		CITI Biomedic	al	6/5/2018			
Betł		CITI Biomedic	al Refresher	10/5/2015			
Catl		CITI Biomedic	al Refresher	5/2/2018			
Denn		CITI Biomedic	al Refresher	6/2/2017			

7. On the left side of the page toward the bottom select "Create Modification/CR; or Close".

My Current Actions



8. Once in the submission select "Modification and Continuing Review".

Modification / Continuing Review / Study Closure

* What is the purpose of this submission? 🕝

- Continuing Review
- Modification
- Modification and Continuing Review
- 9. You will then also select the scope of the modification. Modification scope:

Other parts of the study

Study team member information

10. Then select continue.



11. The beginning of the page states **Continuing Review/Study Closure Information** and there are 4 questions in total to respond to and then a section to upload any pertinent documents for the

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continuing review.

a) Question 1: This question request information for the total number of subjects enrolled at this site and then study-wide. Subjects enrolled refers to the total number of subjects who consented minus screen failures. Even if the study is only conducted at this site please include the overall enrollment number listed in the above question. You will also need to indicate the number of subjects enrolled since the last continuing review if this is not the initial CR for the study.

Continuing Review / Study Closure Information

	ecify enrollment totals:		
cimens)	Enrollment (Participants/Charts/Specimens	Total to Date	Added Since Last CR
s sites:	At this investigator's sites		
y-wide:	Study-wide		

b) Question 2: The next question will request information for the current status of the study, which should be confirmed with the study coordinator or main contact for the study. Select all that apply. (Update the screenshot because it now includes a box and language to acknowledge closure when the study is being closed. In addition, the language associated with the red note has changed also.)

Research milestones: (select all that apply) Note: The first four checkboxes are sequential and describe the milestones of the overall study. If the first four milestones have been met and are checked, then the study will be closed

- □ Study is permanently closed to enrollment OR was never open for enrollment
- All participants have completed all study-related interventions OR not applicable (e.g. study did not include interventions, no participants were enror
- Collection of private identifiable information is complete OR not applicable (no participants were enrolled)
- Analysis of private identifiable information is complete OR not applicable (no participants were enrolled)
- Remaining study activities are limited to data analysis only
- □ Study remains active only for long-term follow-up of participants.*

* Note: Long term follow up includes research interactions that involve no more than minimal risk to subjects (e.g., quality of life surveys); and collection of follow-up data from procedures or interventions that would have been done as part of routine clinical practice to monitor a participant for disease progression or recurrence, regardless of whether the procedures or interventions are described in the research protocol. Long term follow-up excludes research interventions that would not have been performed for clinical purposes, even if the research interventions involve no more than minimal risk.

c) Question 3: Select all that apply on the lists that are applicable for the study.

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3. Check the items that are true since the last IRB continuing review for all sites involved in the study. For each item left unchecked, include a corresponding explanation or supporting document in section 4 below:

- NO subjects experienced unexpected harm (that wasn't previously reported to the IRB).
- Anticipated adverse events have NOT taken place with greater frequency or severity than exp
- NO subjects have withdrawn from the study after initial screening procedures, if any.
- There have been NO unreported unanticipated problems involving risks to subjects or othe
- There have been NO complaints about the study.
- There have been NO publications in the literature relevant to risks or potential benefits that would indicate a need to modify any part of the study.
- There have been NO interim findings.
- There have been NO multi-center trial reports.
- There have been NO data safety monitoring reports.
- There have been NO regulatory actions that could affect safety and risk assessments (e.g. FDA drug recall).
- There has been NO other relevant information regarding this study, especially information about Biomedical risks including box warnings or ANY updated package inserts, IBs, or device reports
- In the opinion of the Principal Investigator, the risks and potential benefits are unchanged.
- There have been NO modifications to the study that have not been submitted to or approved by the IRB
- All problems that require prompt reporting to the IRB have been submitted.
 - d) Under number 4 you are requested to upload any supporting documents, which may include DMC letters not previously submitted, publications, etc. including any additional information about subject withdrawals.
- 4. Attach supporting documents: (for each item left unchecked above, include an explanation or a document from an external source) 🥝 Name There are no items to display
- 12. Once all the questions are completed select "Continue".

5. Attach supporting documents: (For each item left unchecked, include an explanation or document. You may upload supporting documents expl	aining other situations even if all boxes are
checked, such as a reason why there have not been any DSMB reports.) 🚱	
+ Add	
Name	
There are no items to display	

- 13. The beginning of the next page states Modification Information and will ask questions regarding the status of the study and what modification is being requested. There will be 2 questions where there are pre-populated selections then a 3rd question where you will state the exact nature of the modification.
 - a) Question 1: Select which of the following criteria are applicable for the current enrollment for the study.

 - 1. Study enrollment status: No subjects have been enrolled to date Subjects are currently enrolled

 - Study is permanently closed to enrollment
 - All subjects have completed all study-related interventions
 - Collection of private identifiable information is complete
 - b) Question 2: Select who should be informed of the requested change. (Only make a selection if this is applicable to the revision, i.e. changes in Study Team Members, with the exception of the PI. would not have any items selected)

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2. Notification of subjects: (check all that apply)

- Current subjects will be notified of these changes
- Former subjects will be notified of these changes

This needs to be updated. There are now three available options.

c) Question 3: In the top section of the box provide a description of the exact modification that is being requested, so the IRB coordinator can reference this when generating the approval letter. Then if necessary make a space and provide a more detailed description of the changes being made or the rational for them, including any reference to summary of changes documents.

If there are updates to the IB (Investigator Brochure), please indicate if the updated IB: 1. affects the risk-to-benefit ratio of the study thereby requiring a change to the study documents;

- 2. affects alternatives available to study participants; and/or
- 3. represents new information that should be provided to participants.

Example: Other Parts of Study Modification.

Because of the IRB's added language related to IB submissions, it may be ideal to supplement the language in the description used to follow the three IB related items above.

3. * Summarize the modifications: 💙

Investigator Brochure Ninth Edition dated August 14, 2014, Protocol Amendment 3 dated September 30, 2014, Updated Main Informed Consent dated January 7, 2015 and New Open Label Consent form added dated January 7, 2015. Please see summary of changes documents for both the investigator brochure and the protocol for modifications.

The informed consent changes include changing the study drug name "GS-6624" to "simtuzumab" throughout the consent form, explanation of "clinical events" and the information regarding the open label phase being added, in which a new consent form is now also being submitted.

Example: Study Team Member Information



14. If the modification was for "Other Parts of the Study" then when you select **Continue** you will then be taken to the main page of the study application and allowed to navigate through to make

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the necessary updates. (The screenshot used to populate this needs to be updated because this screen has an additional note on it. See pasted example below.

	Internetation in the second seco
	A Phase 4, Double-Blind, Randomized, Placebo-Controlled, Multicenter Study
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2.	* Short title:
	E1352 Flam
з.	* Brief description: 🕜
	The purpose of this study is to find out how effective the obeticholic acid (OCA).
	given with or without standard of care (URSO®, UDCA), may be in preventing or delavine specific medical conditions or the ealth related issues that can occur in
	patients with PBC. In this study vDA is being tested against a placebo. Six subjects will be enrolled in this study which will last between six and eight wars.
	b.
4.	* Which selection best describes your study?
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	Steven Flamm
6.	* Will an external IRB act as the IRB of record for this study? Note: Once you answer this question and save/continue past this page, you will NOT be able to change this answer.
	🔿 Yes 🕘 No
7	* What kind of study is this?
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	O collaborative study (Each site will conduct a portion of the study and the NU IKb will either serve as the IKb of record for all participating sites of defer review to another, external IRB)
	O Multi-site study (More than one site will conduct the entire study and the NU IRB will either serve as the IRB of record for all participating sites or defer review to another
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